



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 3927

| | | | | | |
|--|---|-----------------------------------|---|---|-------------------------------------|
| SERIAL NUMBER 09/911,772 | FILING or 371(c) DATE 07/24/2001 RULE | CLASS 705 | GROUP ART UNIT 3696 | ATTORNEY DOCKET NO. CUTLP0102US | |
| APPLICANTS Stephen Cutler, Captive, FL; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/05/2001 | | | | | |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /CLEMENT B GRAHAM/ Acknowledged Examiner's Signature | <input type="checkbox"/> Met after Allowance CG Initials | STATE OR COUNTRY FL | SHEETS DRAWINGS 10 | TOTAL CLAIMS 186 | INDEPENDENT CLAIMS 20 |
| ADDRESS M. David Galin Renner, Otto, Boisselle & Sklar, LLP Nineteenth Floor 1621 Euclid Avenue Cleveland, OH 44115 UNITED STATES | | | | | |
| TITLE Securities market and market maker activity tracking system and method | | | | | |
| FILING FEE RECEIVED 3404 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | | |

C GRAHAM 1/4/09